

3c877 U.S. PTO  
07/11/00

7-12-00

A

Case Docket No. NL 000305

THE COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231

Enclosed for filing is the patent application of Inventor(s):  
HANS WILLEM VAN KESTEREN

For: A METHOD OF THERMALLY-ASSISTED DATA RECORDING AND A RECORDING APPARATUS

**ENCLOSED ARE:**

- ☒ Associate Power of Attorney;
- ☐ Information Disclosure Statement, Form PTO-1449 and copies of documents listed therein;
- ☒ Preliminary Amendment; (2)
- ☒ Specification (15 Pages of Specification, Claims, & Abstract);
- ☒ Declaration and Power of Attorney:  
(1 Pages of a ☒ fully executed ☐ unsigned Declaration);
- ☒ Drawing (6 sheets of ☐ informal ☒ formal sheets);
- ☐ Certified copy of application Serial No. ;
- ☒ Other: AUTHORIZATION PURSUANT TO 37 CFR 1.136(a)(3);
- ☒ Other: CITATION OF RELATED CASES;
- ☒ Assignment to U.S. PHILIPS CORPORATION.

**FEE COMPUTATION**

CLAIMS AS FILED				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE - 690.00
Total Claims	- 20 =	0	X \$18 =	0.00
Independent Claims	- 3 =	0	X \$78 =	0.00
Multiple Dependent Claims, if any			\$260 =	0.00
TOTAL FILING FEE . . . . .				= \$690.00

Please charge Deposit Account No. 14-1270 in the amount of the total filing fee indicated above, plus any deficiencies. The Commissioner is also hereby authorized to charge any other fees which may be required, except the issue fee, or credit any overpayment to Account No. 14-1270.

☐ Amend the specification by inserting before the first line the sentence: --This is a continuation-in-part of application Serial No. , filed .--.

**CERTIFICATE OF EXPRESS MAILING**

Express Mail Mailing Label No. EL458217917  
Date of Deposit 7/11/00  
I hereby certify that this paper and/or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

Steven Witte Kwen Witte  
Typed Name Signature

Michael E. Belk  
Michael E. Belk, Reg. 33,357  
Attorney  
(914) 333-9643  
U.S. Philips Corporation  
580 White Plains Road  
Tarrytown, New York 10591  
MW10BEE0.SWO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

HANS WILLEM VAN KESTEREN

NL 000305

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

A METHOD OF THERMALLY-ASSISTED DATA RECORDING AND A RECORDING APPARATUS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231



AUTHORIZATION PURSUANT TO 37 CFR §1.136(a)(3)  
AND TO CHARGE DEPOSIT ACCOUNT

Sir:

The Commissioner is hereby requested and authorized to treat any concurrent or future reply in this application requiring a petition for extension of time for its timely submission, as incorporating a petition for extension of time for the appropriate length of time.

Please charge any additional fees which may now or in the future be required in this application, including extension of time fees, but excluding the issue fee unless explicitly requested to do so, and credit any overpayment, to Deposit Account No. 14-1270.

Respectfully submitted,

By Michael E. Belk  
Michael E. Belk, Reg. 33,357  
Attorney  
(914) 333-9643

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Atty. Docket

HANS WILLEM VAN KESTEREN

NL 000305

Serial No.

Group Art Unit

Filed: CONCURRENTLY

Ex.

A METHOD OF THERMALLY-ASSISTED DATA RECORDING AND A RECORDING APPARATUS

Honorable Commissioner of Patents and Trademarks  
Washington, D.C. 20231

CITATION OF RELATED CASES

Sir:

Attached is a report which was made by the assignee of the above-identified patent application.

The United States patent applications and issued patents identified in this report may be relevant to the examination of the above-identified patent application inasmuch as they have been identified by an automated search of the assignee's patent portfolio files as having common inventors with and/or subject matter which is classified by the assignee in the same technological field as the above-identified patent application. However, citation of this report is neither an admission that any document noted therein is prior art to the above-identified patent application nor a waiver of the confidential status of any listed patent application under 35 U.S.C. 122.

Respectfully submitted,

By Michael E. Belk  
Michael E. Belk, Reg. 33,357  
Attorney  
(914) 333-9635

MW10BEB0.SW0

# Related Cases/Technology Report for NL 000305

VAN KESTEREN, HANS W.

Docket No.: NL 000305

Attorney: UNASSIGNED

Patent No.:

Serial No.:

OS Codes : RO0432

Title : A METHOD OF THERMALLY-ASSISTED DATA RECORDING AND RECORDING APPARATUS.

## Common Inventors

VAN KESTEREN, HANS W.

ZEPER, WOUTER B.

DEN BROEDER, FRIEDRICH

KERKHOF, JOHANNES

Docket No.: PHN 13739

Patent No.:

OS Codes : RO0234

Title : MAGNETO-OPTICAL RECORDING MEDIUM.

Attorney: SPAIN

Serial No.: 07/925358

VAN KESTEREN, HANS W.

ZEPER, WOUTER B.

DEN BROEDER, FRIEDRICH

KERKHOF, JOHANNES

Docket No.: PHN 13739A

Patent No.: 5952094

OS Codes : RO0234

Title : MAGNETO-OPTICAL RECORDING MEDIUM.

Attorney: SPAIN

Serial No.: 08/179836

VAN KESTEREN, HANS W.

MUD, AUKE-JAN

KERKHOF, JOHANNES M.

Docket No.: PHN 14536

Patent No.:

OS Codes : RO0234

Title : MAGNETIC-OPTICAL RECORDING MEDIUM.

Attorney: SPAIN

Serial No.: 08/284880

VAN KESTEREN, HANS W.

MUD, AUKE-JAN

KERKHOF, JOHANNES M.

Docket No.: PHN 14536A

Patent No.: 5756202

OS Codes : RO0234

Title : MAGNETIC-OPTICAL RECORDING MEDIUM.

Attorney: SPAIN

Serial No.: 08/816464

VAN KESTEREN, HANS W.

RUIGROK, JACOBUS J.

Docket No.: PHN 15022

Patent No.: 5726964

OS Codes : MK1310

Title : SCANNING HEAD INCLUDING A MAGNETO-OPTICAL ELEMENT  
SCANNING DEVICE INCLUDING THE SCANNING HEAD.

Attorney: FOX

Serial No.: 08/501833

FOLKERTS, WIEPKE

VAN KESTEREN, HANS W.

Docket No.: PHN 15713

Patent No.: 59 82591

OS Codes : HV6400

Title : MULTICHANNEL MAGNETIC HEAD.

Attorney: TREACY

Serial No.: 08/800444

FOLKERTS, WIEPKE

VAN KESTEREN, HANS W.

Docket No.: PHN 15713A

Patent No.: 5982591

OS Codes : HV6400

Title : MULTICHANNEL MAGNETIC HEAD.

Attorney: TREACY

Serial No.: 08/800444

KUIPER, ANTONIUS E.  
VAN KESTEREN, HANS W.

Docket No.: PHN 16701  
Patent No.:  
OS Codes : HV6200  
Title : SYSTEM FOR RECORDING AND/OR REPRODUCING  
FOR USE IN THE SYSTEM, AND MAGNETIC EMBOSS HEAD

Attorney: BIREN  
Serial No.: 09/216267

VAN KESTEREN, HANS W.  
ADELERHOF, DERK J.

Docket No.: PHN 17043  
Patent No.:  
OS Codes : RO0449 MK2260  
Title : THIN-FILM MAGNETIC HEAD DEVICE.

Attorney: TREACY  
Serial No.: 09/365464

LENSSEN, KARS-MICHIEL  
VAN KESTEREN, HANS, W.

Docket No.: PHN 17582  
Patent No.:  
OS Codes : 1203SN 1202MM  
Title : DEVICE COMPRISING A FIRST AND A SECOND  
SEPARATED BY A NON-MAGNETIC SPACER LAYER.

Attorney: BIREN  
Serial No.: 09/529524

### Related Technology

VAN WOUDEBERT, ROEL  
DUCHATEAU, JOHAN P.  
BOEG, HERMANUS J.

Docket No.: PHN 16325  
Patent No.:  
OS Codes : RO0432  
Title : METHOD AND DEVICE FOR WRITING AN OPTICAL RECORD

Attorney: BELK  
Serial No.: 09/171089

09/216267  
09/365464  
09/529524  
09/171089